

United States Patent and Trademark Office

MG

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Dox 1430 Alexandria Weight 2011-1440

1	ADDI NO	FILING OR 371			www.mshra.gov				
ı	APPL NO.	(c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	INDCLMS	
	10/702,407 vor R. Elrifi, E Mintz, Levin, C The Chrysler ( 366 Third Ave New York, NY	11/05/2003 Esq. Cohn, Ferris, (Center nue, 24th Flo		1330-   File   Just   Doc   Doc   Pre   No	26775-501 Done By Folder ta Entry Cket Entry FLING viously Entered Docketing Req ler Copies	CONFIRM RECEIPT	23 MATION I	2 NO. 8333	
		·		triple man pro	100 Profes Track Track (100 PA)				

Date Mailed: 02/05/2004

Corrected Filing Receipt

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if

#### Applicant(s)

Richard Ornberg, Chesterfield, MO; Richard L. Ornberg Kishore Udipi, St. Louis, MO; Denis Forster, St. Louis, MO; Dennis P. Riley Bruse Thurmond, St. Louis, MO; Kenneth B. Thurmond Susan Henke, St. Louis, MO; Kerry Brothaur, St. Louis, MO; Louis, MO;

RECEIVED

FEB 1 0 2004

Mintz Levin - NYC

# Domestic Priority data as claimed by applicant

This application is a CON of 09/580,007 05/26/2000 ABN which claims benefit of 60/136,298 05/27/1999 **A s N** 

Foreign Applications

If Required, Foreign Filing License Granted: 02/04/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Biopolymers modified with superoxide dismutase mimics

**Preliminary Class** 

424

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Attorney Docket No. 26775-501

Date of Deposit: February 12, 2004

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Richard L. Ornberg, et al.

SERIAL NUMBER: 10/702,407

EXAMINER:

Not Yet Assigned

FILING DATE:

November 5, 2003

ART UNIT:

1614

FOR:

BIOPOLYMERS MODIFIED WITH SUPEROXIDE DISMUTASE

**MIMICS** 

Office of Initial Patent Examination's **Filing Receipt Corrections** Commissioner for Patents P. O. Box 1450

Alexandria, VA 22313-1450

## REQUEST FOR CORRECTED FILING RECEIPT

1. Applicants request a Corrected Filing Receipt for the above-mentioned patent application. Applicants enclose a copy of the Filing Receipt with the corrections noted thereon. Issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data, which is:

 $\boxtimes$ incorrectly entered and/or 冈 omitted

∆J om	ntted.			
	Error in	Correct data		
1. 🛚	Applicants' name (first, last name)	Richard L. Ornberg Dennis P. Riley Kenneth B. Thurmond Kerry Brethauer		
2. 🛛	Applicants' address	Saikat Joardar 15 Hardwicke Place Rockville, MD 20850		
3. 🔲	Title			
4.	Filing Date			
5. 🗌	Serial Number			
6. 🗌	Foreign/PCT Application Re:			
7. 🔲	Continuing Data			
8. 🛭 as claime	OtherDomestic Priority data ed by Applicant	This application is a CON of 09/580,007 5/26/2000 which claims benefit of 60/136,298 05/27/1999 ABN		

Applicants: Richard L. Ornberg, et al. U.S.S.N. 10/702,407

3. Applicants believe that no fee is due. However, the Commissioner is authorized to charge any additional fees that may be due, or to credit any overpayment, to Deposit Account No. 50-0311, Reference Number 26775-501.

4. Other documents:

Copy of Filing Receipt with changes noted thereon. Copy of original Filing Receipt.

Respectfully submitted,

Dated: February 12, 2004

Righard Gervase, Reg. No. 46,725 Matthew J. Golden, Reg. No. 35,161

Attorney/Agent for Applicants

c/o MINTZ, LEVIN

666 Third Avenue-24<sup>th</sup> Floor New York, New York 10017 Telephone: (212) 935-3000

Telefax: (212) 983-3115



# United States Patent and Trademark Office

MG

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Dox 1450 New 27311-1450 Alexandria Vignin 27311-1450

	FILING OR 371		**************************************				
APPL NO.	(c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
· ···· Oili yoloi	11/05/2003  Esq. Cohn, Ferris, ( Center	1614 Glovsky a	1330	Folder a Entry  Ket Cross Off viously Entered Docketing Req er Copies	13 CONFIRM	23 MATION I	2 NO. <b>8333</b>
2	•		i mengan yan	The Side Prints Historica and a specific supprise and	Date N	lailed: 02	/05/2004

notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if

#### Applicant(s)

Richard Ornberg, Chesterfield, MO; Kishore Udipi, St. Louis, MO; Denis Forster, St. Louis, MO; Dennis Riley, St. Louis, MO; Bruce Thurmond, St. Louis, MO; Susan Henke, St. Louis, MO; Kerry Brethaur, St. Louis, MO; Saikat Joardar, St. Louis, MO;

RECEIVED

FEB 1 0 2004

Mintz Levin - NYC

# Domestic Priority data as claimed by applicant

This application is a CON of 09/580,007 05/26/2000 ABN which claims benefit of 60/136,298 05/27/1999

### Foreign Applications

If Required, Foreign Filing License Granted: 02/04/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Biopolymers modified with superoxide dismutase mimics

**Preliminary Class** 

424

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Express Mail Label N .: EV287337125US

Date of Dep sit: February 12, 2004

Att rney Docket No. 26775-501

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PPLICANTS:

Richard L. Ornberg, et al.

SERIAL NUMBER:

10/702,407

EXAMINER:

Not Yet Assigned

FILING DATE:

November 5, 2003

ART UNIT:

1614

For:

BIOPOLYMERS MODIFIED WITH SUPEROXIDE DISMUTASE

**MIMICS** 

Office of Initial Patent Examination's **Filing Receipt Corrections** Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- 1. Request for Corrected Filing Receipt (2 pages);
- 2. Copy of corrected Filing Receipt with changes noted thereon (2 pages);
- 3. Copy of original Filing Receipt (2 pages); and
- 4. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (212) 935-3000, New York, New York.

The Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311, Ref. No. 26775-501, Customer No.: 35437. A duplicate copy of this transmittal letter is enclosed herewith.

Dated: February 12, 2004

EV287337125US

Respectfully submitted,

Righard Gervase Rog. No. 46,725

Matthew J. Golden, Reg. No. 35,161

Attorney/Agent for Applicants

c/o MINTZ, LEVIN

666 Third Avenue-24th Floor New York, New York 10017

Telephone: (212) 935-3000

Telefax: (212) 983-3115